

## APPENDIX B

### Select documentation, sources and literature\*

#### Korea-related CBMs

- The Korean War Armistice Agreement, Panmunjom, Korea, 27 July 1953  
<http://www.state.gov/t/ac/rls/or/2004/31006.htm>
- North–South Joint Communiqué, Pyongyang, 4 July 1972  
[http://www.nautilus.org/DPRKBriefingBook.old/agreements/CanKor\\_VTK\\_1972\\_07\\_04\\_north\\_south\\_joint\\_communique.pdf](http://www.nautilus.org/DPRKBriefingBook.old/agreements/CanKor_VTK_1972_07_04_north_south_joint_communique.pdf)
- Agreement on Reconciliation, Non-aggression, and Cooperation and Exchange Between the North and the South (Basic Agreement), Seoul, 13 December 1991  
[http://www1.korea-np.co.jp/pk/011th\\_issue/97100101.htm](http://www1.korea-np.co.jp/pk/011th_issue/97100101.htm)
- Joint Declaration of the Denuclearization of the Korean Peninsula, Seoul and Pyongyang, 20 January 1992  
<http://www.fas.org/news/skorea/1992/appendix17.htm>
- Agreed Framework between the United States of America and the Democratic People’s Republic of Korea, Geneva, 21 October 1994  
<http://www.kedo.org/pdfs/AgreedFramework.pdf>
- South–North Joint Declaration, Pyongyang, 15 June 2000  
[http://www.usip.org/library/pa/n\\_skorea/n\\_skorea06152000.html](http://www.usip.org/library/pa/n_skorea/n_skorea06152000.html)
- Agreement on the Prevention of Accidental Naval Clashes in the West Sea, and the Cessation of Propaganda Activities and the Elimination of Propaganda Apparatus in the Military Demarcation Line Area, Mt Seorak, 4 June 2004  
<http://www.globalsecurity.org/wmd/library/news/rok/2004/rok-040609-rok-mou02.htm> (summary)
- Inter-Korean Maritime Agreement and Subsequent Agreement, Pyongyang, 5 June 2004  
<http://www.globalsecurity.org/wmd/library/news/rok/2004/rok-040614-rok-mou01.htm> (summary)
- Joint Statement, Fourth Round of the Six-Party Talks, Beijing, 19 September 2005  
<http://www.state.gov/r/pa/prs/ps/2005/53490.htm>
- North Korea–Denuclearization Action Plan, Beijing, 13 February 2007  
<http://www.state.gov/r/pa/prs/ps/2007/february/80479.htm>

\* All documents are available from the SIPRI website at <http://www.sipri.org/contents/worldsec/nk/agreements.html>. It is not practical to present here all of the literature that was consulted for this report. This bibliography contains only select items that have been directly referred to in the report.

*Inter-Korean Ministerial Talks, 2000–2006, Joint statements/Press releases*

- 1st Inter-Korean Ministerial Talks, Seoul, 29–31 July 2000
- 2nd Inter-Korean Ministerial Talks, Pyongyang, 29 August–1 September 2000
- 3rd Inter-Korean Ministerial Talks, Jeju Island, 27–30 September 2000
- 4th Inter-Korean Ministerial Talks, Pyongyang, 12–16 December 2000
- 5th Inter-Korean Ministerial Talks, Seoul, 15–18 September 2001
- 6th Inter-Korean Ministerial Talks, Mt Kumgang, 9–14 November 2004  
(no statement issued)
- 7th Inter-Korean Ministerial Talks, Seoul, 12–14 August 2002
- 8th Inter-Korean Ministerial Talks, Pyongyang, 19–22 October 2002
- 9th Inter-Korean Ministerial Talks, Seoul, 21–24 January 2003
- 10th Inter-Korean Ministerial Talks, Pyongyang, 27–29 April 2003
- 11th Inter-Korean Ministerial Talks, Seoul, 9–12 July 2003
- 12th Inter-Korean Ministerial Talks, Pyongyang, 14–17 October 2003
- 13th Inter-Korean Ministerial Talks, Seoul, 3–6 February 2004
- 14th Inter-Korean Ministerial Talks, Pyongyang, 4–7 May 2004  
Source: *In Peace and Prosperity: White Paper on Korean Unification 2005*  
(Ministry of Unification: Seoul, 2005), pp. 143–61
- 15th Inter-Korean Ministerial Talks, Seoul, 3–6 August 2004  
<http://www.globalsecurity.org/wmd/library/news/rok/2005/rok-050625-kcna02.htm>
- 16th Inter-Korean Ministerial Talks, Pyongyang, 13–16 September 2005  
[http://www1.korea-np.co.jp/pk/221th\\_issue/2005092407.htm](http://www1.korea-np.co.jp/pk/221th_issue/2005092407.htm)
- 17th Inter-Korean Ministerial Talks, Jeju Island, 13–16 December 2005  
[http://www1.korea-np.co.jp/pk/224th\\_issue/2005123111.htm](http://www1.korea-np.co.jp/pk/224th_issue/2005123111.htm)
- 18th Inter-Korean Ministerial Talks, Pyongyang, 21–24 April 2006  
<http://www.globalsecurity.org/wmd/library/news/dprk/2006/dprk-060425-kcna05.htm>
- 19th Inter-Korean Ministerial Talks, Pusan, 11–14 July 2006 (no statement issued)
- 20th Inter-Korean Ministerial Talks, Pyongyang, 27 February–2 March 2007  
<http://www.globalsecurity.org/wmd/library/news/dprk/2007/dprk-070303-kcna01.htm>

**International precedents for military confidence building****Europe**

- Conference on Security and Co-operation in Europe Final Act, Helsinki, 1 August 1975  
<http://www.osce.org/item/4046.html>
- Document of the Stockholm Conference on Confidence- and Security-Building Measures and Disarmament in Europe Convened in Accordance with the Relevant Provisions of the Concluding Document of the Madrid Meeting of the Conference

on Security and Co-operation in Europe, Stockholm, 19 September 1986

<http://www.osce.org/item/4247.html>

- Vienna Document 1990 of the Negotiations on Confidence- and Security-Building Measures Convened in Accordance with the Relevant Provisions of the Concluding Document of the Vienna Meeting of the Conference on Security and Co-operation in Europe, Vienna, 17 November 1990  
<http://www.osce.org/item/4248.html>
- Vienna Document 1992 of the Negotiations on Confidence- and Security-Building Measures Convened in Accordance with the Relevant Provisions of the Concluding Document of the Vienna Meeting of the Conference on Security and Co-operation in Europe, Vienna, 4 March 1992  
<http://www.osce.org/item/4249.html>
- Vienna Document 1994 of the Negotiations on Confidence- and Security-Building Measures, Vienna, 28 November 1994  
<http://www.osce.org/item/4250.html>
- Vienna Document 1999 of the Negotiations on Confidence- and Security-Building Measures, Istanbul, 16 November 1999  
<http://www.osce.org/item/4251.html>
- Treaty on Open Skies, Helsinki, 24 March 1992.  
<http://www.osce.org/item/13764.htm>
- Code of Conduct on Politico-Military Aspects of Security, Budapest, 3 December 1994.  
[http://www.osce.org/documents/fsc/1994/12/4270\\_en.pdf](http://www.osce.org/documents/fsc/1994/12/4270_en.pdf)
- Agreement on Confidence- and Security-Building Measures in Bosnia and Herzegovina, Vienna, 26 January 1996  
<http://www.sipri.org/contents/worldsec/article2-eng.pdf>
- Confidence-building measures (CBMs) process between Greece and Turkey 2000–2003

*Summary* Since 2000, a process has been taking place between Greece and Turkey to agree on a number of CBMs to reduce the tension between the two states emanating from conflicts over Cyprus and the Aegean Sea. The talks have taken place under the auspices of the North Atlantic Treaty Organization and in contacts between the political directors of the respective foreign ministries. The CBMs that have been agreed include:

- direct communications channels at the foreign minister level
- direct communications channels at the defence minister level
- mutual invitations of officers to attend large-scale military exercises
- cooperation in the prevention of pollution in the Evros/Meric River
- Exchange of timetables for national exercises to be conducted in the Aegean Sea region in the next year

- Organizing visits between staff officers of the general staffs of the army, navy and air force
- Exchange of students between the respective war academies
- Establishing cooperation between military hospitals
- Cooperation between the national defence colleges
- Exchange of personnel for training purposes between the respective Partnership for Peace Training Centers

## **Asia**

### *India–Pakistan*

- Agreement between India and Pakistan on the Advance Notice of Military Exercises, New Delhi, 6 April 1991  
<http://www.stimson.org/southasia/?sn=sa20020109216>
- Agreement between India and Pakistan on Prevention of Air Space Violations and for Permitting Over Flights and Landing by Military Aircraft, New Delhi, 6 April 1991  
[http://www.indianembassy.org/South\\_Asia/Pakistan/Airspace\\_Violations\\_Agreement\\_April\\_6\\_1991.html](http://www.indianembassy.org/South_Asia/Pakistan/Airspace_Violations_Agreement_April_6_1991.html)
- India–Pakistan Joint Declaration on the Complete Prohibition of Chemical Weapons, New Delhi, 19 August 1992  
[http://www.indianembassy.org/South\\_Asia/Pakistan/Joint\\_Declaration\\_C\\_W\\_August\\_19\\_1992.html](http://www.indianembassy.org/South_Asia/Pakistan/Joint_Declaration_C_W_August_19_1992.html)

### *India–China*

- Agreement on the Maintenance of Peace and Tranquility Along the Line of Actual Control in the India–China Border Areas, Beijing, 7 September 1993.  
<http://www.stimson.org/southasia/?sn=sa20020114287>
- Agreement between the Government of the Republic of India and the Government of the People’s Republic of China on Confidence-Building Measures in the Military Field Along the Line of Actual Control in the India–China Border Areas, New Delhi, 29 November 1996  
<http://www.stimson.org/?sn=sa20020114290>
- Protocol between the Government of the Republic of India and the Government of the People’s Republic of China on Modalities for the Implementation of Confidence-Building Measures in the Military Field Along the Line of Actual Control in the India–China Border Areas, New Delhi, 11 April 2005  
<http://mea.gov.in/treatiesagreement/2005/11ta1104200502.htm>

### *China–Russia + 3*

- Agreement between Russia, Kazakhstan, Kyrgyzstan and Tajikistan (as a Joint Party) and China on Confidence Building in the Military Field in the Border Area

(Shanghai Agreement), Shanghai, 26 April 1996

In Goldblat, J., *Arms Control: The New Guide to Negotiations and Agreements* (SIPRI-PRIO: SAGE Publications, 2002), pp. 555–61 of CD-ROM supplement.

- Agreement between Russia, Kazakhstan, Kyrgyzstan and Tajikistan (as a Joint Party) and China on the Mutual Reduction of Armed Forces in the Border Area, Moscow, 24 April 1997

In Goldblat, J., *Arms Control: The New Guide to Negotiations and Agreements* (SIPRI-PRIO: SAGE Publications, 2002), pp. 653–659 of CD-ROM supplement

### **Middle East**

#### *Israel–Egypt*

- Separation of Forces Agreement between Israel and Egypt, Kilometre 101 on the Cairo–Suez Road, 18 January 1974  
[http://www.mfa.gov.il/MFA/Peace Process/Guide to the Peace Process/Israel-Egypt Separation of Forces Agreement, 1974](http://www.mfa.gov.il/MFA/Peace%20Process/Guide%20to%20the%20Peace%20Process/Israel-Egypt%20Separation%20of%20Forces%20Agreement,%201974)
- Interim Agreement between Egypt and Israel, Geneva, 4 September 1975  
<http://www.jewishvirtuallibrary.org/jsourc/Peace/eginterim75.html>
- Peace Treaty between Israel and Egypt, Washington, DC, 26 March 1979  
[http://www.mfa.gov.il/MFA/Peace Process/Guide to the Peace Process/Israel-Egypt Peace Treaty](http://www.mfa.gov.il/MFA/Peace%20Process/Guide%20to%20the%20Peace%20Process/Israel-Egypt%20Peace%20Treaty)

#### *Israel–Syria*

- Separation of Forces Agreement between Israel and Syria, Geneva, 31 May 1974  
[http://www.mfa.gov.il/MFA/Peace Process/Guide to the Peace Process/Israel-Syria Separation of Forces Agreement, 1974](http://www.mfa.gov.il/MFA/Peace%20Process/Guide%20to%20the%20Peace%20Process/Israel-Syria%20Separation%20of%20Forces%20Agreement,%201974)

#### *Israel–Jordan*

- Treaty of Peace between the State of Israel and the Hashemite Kingdom of Jordan, Arava/Araba Crossing Point, 26 October 1994  
[http://www.mfa.gov.il/MFA/Peace Process/Guide to the Peace Process/Israel–Jordan Peace Treaty](http://www.mfa.gov.il/MFA/Peace%20Process/Guide%20to%20the%20Peace%20Process/Israel-Jordan%20Peace%20Treaty)

#### *Latin America*

A number of regional CBMs have been agreed and partially implemented in Latin America under the auspices of the Organization of American States (OAS). The following are the main CBM agreements that have been concluded:

- Buenos Aires Group of Experts, ‘Illustrative List of Confidence & Security Building Measures for Countries to Consider Adopting on the Bilateral Sub-regional Level’, Buenos Aires, 15–18 March 1994
- Confidence- and Security-Building Measures Declaration of Santiago, Santiago de Chile, 10 November 1995

- Declaration of San Salvador on Confidence- and Security-Building Measures, San Salvador, 28 February 1998
- Consensus of Miami: Declaration by the Experts on Confidence- and Security-Building Measures: Recommendations to the Summit-Mandated Special Conference on Security. Illustrative List of Confidence- and Security-Building Measures, Miami, 3–4 February 2003  
Source: US Department of State, *Confidence and Security Building Measures in the Americas: a Reference Book of Hemispheric Documents*, 7th edn (US Department of State: Washington, DC, Sep. 2003)  
<http://www.state.gov/documents/organization/78029.pdf>
- Inter-American Convention Against the Illicit Manufacturing of and Trafficking in Firearms, Ammunition, Explosives, and Other Related Materials (OAS Firearms Convention), Washington, DC, 14 November 1997  
<http://www.oas.org/juridico/English/treaties/a-63.html>
- Inter-American Convention on Transparency in Conventional Weapons Acquisitions (OAS Transparency Convention), Guatemala City, 7 June 1999  
<http://www.oas.org/juridico/english/treaties/a-64.html>

#### **Agreements on maritime CBMs**

- Agreement between the Government of the United States of America and the Government of the Union of Soviet Socialist Republics on the Prevention of Incidents on and over the High Seas (Incidents at Sea Agreement, INCSEA), Moscow, 25 May 1972  
<http://www.fas.org/nuke/control/sea/text/sea1.htm>
- Other INCSEAs:* bilateral INCSEA agreements, modeled on the Soviet–US agreement, have been signed between the following states:
- 1994 Agreement between the Government of the Republic of Korea and the Government of the Russian Federation concerning the Prevention of Incidents at Sea Beyond the Territorial Sea
  - 1994 Japan–Russia Agreement on Prevention of Incidents on and over the High Seas to Prevent Maritime Accidents
  - 1998 Agreement between the Department of Defense of the United States of America and the Ministry of National Defense of the People’s Republic of China on Establishing a Consultation Mechanism to Strengthen Military Maritime Safety  
<http://www.nti.org/db/china/engdocs/milmarag.htm>
  - 1986 Agreement between the UK and the USSR concerning the Prevention of Incidents at Sea beyond the Territorial Sea (British–Soviet Incidents at Sea Agreement).

- 1988 Agreement between the Federal Republic of Germany (FRG) and the USSR concerning the Prevention of Incidents at Sea Beyond the Territorial Sea (FRG–Soviet Incidents at Sea Agreement).

*Greece–Turkey: naval, air CBMs*

- Memorandum of Understanding on Confidence-Building Measures, Athens, 17 May 1988
- Guidelines for the Prevention of Accidents and Incidents on the High Seas and in International Airspace, Istanbul, 8 September 1988  
Source: SIPRI, Fürst, A., Heise, V. and Miller, S. (eds), *Europe and Naval Arms Control in the Gorbachev Era* (Oxford University Press: Oxford, 1992), pp. 267–68
- Joint Declaration and Document on Confidence- and Security-building Measures in the Naval Field in the Black Sea, Kyiv, 25 April 2002  
<http://www.sipri.org/contents/worldsec/blackseacbmn.pdf>
- Statement by the Finnish delegation at the OSCE FSC on the Bilateral Agreement on Exchange of Naval Visits between the Russian Federation and Finland, Vienna, 16 October 2002

**Agreements on CBMs in the nuclear and chemical and biological warfare fields**

- Agreement between India and Pakistan on the Prohibition of Attack Against Nuclear Installations and Facilities, Islamabad, 31 December 1988.  
[http://www.indianembassy.org/South\\_Asia/Pakistan/Prohibition\\_Attack\\_Nuclear\\_Dec\\_31\\_1988.html](http://www.indianembassy.org/South_Asia/Pakistan/Prohibition_Attack_Nuclear_Dec_31_1988.html)
- Agreement between the Republic of India and the Islamic Republic of Pakistan on Pre-notification of Flight Testing of Ballistic Missiles, Islamabad, 3 October 2005  
<http://www.stimson.org/?SN=SA20060207949>
- Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction (Biological and Toxin Weapons Convention, BTWC), London, Moscow and Washington, DC, 10 April 1972  
<http://www.opbw.org/convention/documents/btwctext.pdf>
- Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction (Chemical Weapons Convention, CWC), Paris, 13 January 1993  
[http://www.opcw.org/docs/cwc\\_eng.pdf](http://www.opcw.org/docs/cwc_eng.pdf)
- Memorandum of Understanding Between the Government of the Union of Soviet Socialist Republics and the Government of the United States of America Regarding a Bilateral Verification Experiment and Data Exchange Related to Prohibition of Chemical Weapons, Jackson Hole, Wyoming, 23 September 1989

[http://www.sipri.org/contents/cbwarfare/cbw\\_research\\_doc/cbw\\_historical/cbw\\_historical/cw-mou.html](http://www.sipri.org/contents/cbwarfare/cbw_research_doc/cbw_historical/cbw_historical/cw-mou.html)

- Joint Statement on Biological Weapons by the Governments of the United Kingdom, the United States and the Russian Federation, Moscow, 10–11 September 1992.  
<http://www.stimson.org/cbw/?sn=CB20011221162>

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[http://www.swisspeace.org/publications/wp/KOFF\\_DealingWithThePast.pdf](http://www.swisspeace.org/publications/wp/KOFF_DealingWithThePast.pdf)
- Cooperative Monitoring Center at Sandia National Laboratories.  
<http://www.cmc.sandia.gov/links/cmc-papers/regional-korean-peninsula/regional-koreapeninsula.htm>
- University for Peace, *Energy Scenarios for the DPRK: Report of the Working Group Convened by the United Nations: Phase I* (University for Peace, New York, N.Y., 2005).  
[http://www.upeace.org/pdf/Energy Scenarios for the DPRK 2005.pdf](http://www.upeace.org/pdf/Energy%20Scenarios%20for%20the%20DPRK%202005.pdf)
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<http://www.chathamhouse.org.uk/pdf/research/sdp/KPJan05.pdf>
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- Lachowski, Z., *Confidence- and Security-Building Measures in the New Europe*, SIPRI Research Report no. 18 (Oxford University Press: Oxford, 2004).
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[www.chathamhouse.org.uk/pdf/research/sdp/JSJan05.pdf](http://www.chathamhouse.org.uk/pdf/research/sdp/JSJan05.pdf)
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<http://www.cmc.sandia.gov/links/cmc-papers/sand2003-2892.pdf>
- Yong-Sup Han, *Designing and Evaluating Conventional Arms Control Measures: The Case of the Korean Peninsula* (RAND: Santa Monica, Calif., 1993).